

1310-1  
301-1001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Albani and Prakken Art Unit: 1645  
Serial No.: 09/828,574 Examiner: Navarro, A. M.  
Filed: April 6, 2001  
Title: STRESS PROTEINS AND PEPTIDES AND METHODS OF USE THEREOF

**Mail Stop Sequence**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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TRANSMITTAL LETTER

Sir:

In connection with the response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures mailed May 8, 2003, Applicants provide herewith a substitute computer readable and a paper copy of the Sequence Listing in accordance with 37 C.F.R. §§ 1.821 – 1.825 *et seq.*

Transmitted herewith for the above-identified application please find:

1. Statement Under 37 C.F.R. §§ 1.821(f), (g) and 37 C.F.R. §§ 1.825(a), (b) (2 pgs.);
2. Verified Statement Under 37 C.F.R. § 1.821(f) (1 pg.);
3. Amendment Supporting Substitute Copy of Sequence Listing (4 pgs.);
4. Substitute Sequence Listing (10 pgs.);
5. Substitute Sequence Listing in computer readable form (3.5" disk);
6. Copy of Notice to Comply with Nucleic Acid/Amino Acid Sequence Rules (4 pgs.);
7. Return Postcard

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, June 6, 2003, in an envelope addressed to MS Sequence Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Mikhail Bayley

Name of Person Mailing Paper

*Mikhail Bayley*

June 6, 2003

Signature

Date


In re Application of:  
Albani and Prakken  
Application No.: 09/828,574  
Filed: April 6, 2001  
Page 2

PATENT  
ATTY. DOCKET NO.: UCSD1310-1

No fee is deemed necessary in connection with the filing of this paper. However, if any fee is required, the Commissioner is hereby authorized to charge the amount of this fee, or credit any overpayments, to Deposit Account No. 50-1355. A copy of this Transmittal Sheet is enclosed.

Respectfully submitted,

Date: June 6, 2002

  
\_\_\_\_\_  
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APPLICATION NO	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO	CONFIRMATION NO
09 828,574	04/06/2001	Salvatore Albani	UCSD1310-1	6601

7590 05/08/2003  
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PATENT DOCKETING  
MAY 12 2003

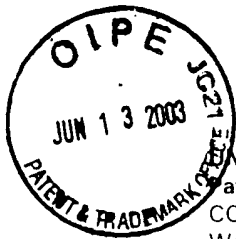
EXAMINER

NAVARRO, ALBERT MARK

ART UNIT	PAPER NUMBER
1645	

DATE MAILED: 05/08/2003

Please find below and/or attached an Office communication concerning this application or proceeding.



UNITED STATES DEPARTMENT OF COMMERCE  
 Patent and Trademark Office  
 COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

DEA.FCE-1994

SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.

EXAMINER	
ART UNIT	PAPER NUMBER
15	

DATE MAILED:

**Please find below a communication from the EXAMINER in charge of this application**

Commissioner of Patents

This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 CFR 1.821(a)(1) and (a)(2). However, this application fails to comply with the requirements of 37 CFR 1.821 through 1.825 for the reason(s) set forth on the attached Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures.

In particular, Applicants amendment to claim 1 resulted in the introduction of new sequences without a corresponding SEQ ID NO tag. Applicants are reminded that all amino acid sequences of 4 amino acids or greater are required to have a SEQ ID NO tag.

Applicant is given ONE MONTH, or THIRTY DAYS, whichever is longer, from the mailing date of this letter within which to comply with the sequence rules, 37 CFR 1.821 - 1.825. Failure to comply with these requirements will result in ABANDONMENT of the application under 37 CFR 1.821(g). Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). In no case may an applicant extend the period for reply beyond the SIX MONTH statutory period. Direct the reply

to the undersigned. Applicant is requested to return a copy of the attached  
Notice to Comply with the reply.



Mark Navarro

Primary Examiner

May 1, 2003